## Approximation of Parametric Functions by Bicubic B-spline Functions

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#### Abstract

In this paper we propose a method to approximate a parametric 3D function by bicubic B-spline functions. [Majid Amirfakhrian. Approximation of Parametric Functions by Bicubic B-spline Functions. J Am Sci 2013;9(1):92-96]. (ISSN: 1545-1003). http://www.jofamericanscience.org. 17


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## 1. Introduction

Creating freeform surfaces is a challenging task even with advanced geometric modeling systems. The problem of converting the dense point sets produced by laser scanners into useful geometric models is referred to as surface reconstruction.

Parametric curves are widely used in different fields such as , computer graphics (CG), computer aided geometric design (CAGD), computed numerical control (CNC) systems [1, 2]. One basic problem in the study of parametric curves is to approximate a curve with lower degree curve segments. For a given digital curve, there exist methods to find such approximate curves efficiently $[3,4,5,6]$. If the curve is given by explicit expressions, either parametric or implicit, these methods are still usable. However, some important geometric features such as singular points cannot be preserved. In this paper, we will focus on computing approximate surfaces which can approximate the given surface to any precision in a similar strategy for parametric curves.

The rest of this paper is organized as follows. In Section 2, we introduce the problem. some notations and preliminary of B-splines are given in Section 3. In Sections 4 and 5, we give represent the least squares method for constructing curves and surfaces. in Section 6, there are some examples which used to illustrate the method. In section 7, the paper is concluded.

## 2. Parametric B-splines

The $x, y$, and $z$ coordinates of a curve is represented in parametric form as
$\left\{\begin{array}{l}x=x(t), \\ y=y(t), \\ z=z(t),\end{array}\right.$
where the parameter $t$ ranges over a prescribed set of values.

The underlying core of the B-spline is its basis or basis functions. The original definition of the Bspline basis functions uses the idea of divided differences and is mathematically involved. Carl de boor established in the early 1970's a recursive relationship for the B-spline basis. By applying the Leibniz theorem, de boor was able to derive the following formula

$$
\begin{align*}
N_{i, p}(u)= & \frac{u-u_{i}}{u_{i+p-1}-u_{i}} N_{i, p-1}(u) \\
& +\frac{u_{i+p}-u}{u_{i+p}-u_{i+1}} N_{i+1, p-1}(u), \tag{2.2}
\end{align*}
$$

where $N_{i, p}$ is the $i$-th B -spline basis function of order $p, u_{i}$ is a member of nondecreasing set of real numbers also called as the knot sequence and $u$ is the parameter variable.

This formula shows that the B-spline basis functions of an arbitrary degree can be stably evaluated as linear combinations of basis functions of a degree lower. The obvious defining feature of the basis function is the knot sequence $u_{i}$. The knot sequence is a set of non-decreasing real numbers. The variable $u$ represents the active area of the real number line that defines the B-spline basis. It takes $p+1$ knots or $p$ intervals to define a basis function. Since the basis functions are based on knot differences, the shape of the basis functions is only dependent on the knot spacing and not specific knot values.

Some of the properties of the B-spline basis functions are:

The sum of the B-spline basis functions for any parameter value $u$ within a specified interval is always equal to 1 ; i.e.,

$$
\sum_{i=1}^{p} N_{i, p}(u)=1
$$

Each basis function is greater or equal to zero for all parameter values.

Each basis function has only one maximum value.

There are three different methods commonly used to parametrize model curve data; uniform, chord length and centripetal. These methods are discussed below.
Uniform
This is the simplest type of parametrization where the knot spacing is chosen to be identical for each interval. Typically, knot values are chosen to be successive integers:

$$
u_{i+1}=u_{i}+1
$$

For many cases, however, this method is too simplistic and ignores the geometry of the model data points.

## Chord Length

This parametrization is based on the distance between the data points. The knot spacing is proportional to the distance between the data points. Equation (2.3) reflects this relationship. This parametrization more accurately reflects the geometry of the data points.

$$
\begin{equation*}
\frac{u_{i+1}-u_{i}}{u_{i+2}-u_{i+1}}=\frac{\left\|p_{i+1}-p_{i}\right\|}{\left\|p_{i+2}-p_{i+1}\right\|} \tag{2.3}
\end{equation*}
$$

in which $u_{i}$ is the $i$-th domain knot, $p_{i}$ is the $i$-th data point and $i$ is the number of knot interval.

## Centripetal

This parametrization is derived from a physical analogy. It seeks to smooth out variation in the centripetal force acting on a point in motion along the curve. This requires the knot sequence to be proportional to the square root of the distance between the data points as shown in Equation (2.4).

$$
\begin{equation*}
\frac{u_{i+1}-u_{i}}{u_{i+2}-u_{i+1}}=\left(\frac{\left\|p_{i+1}-p_{i}\right\|}{\left\|p_{i+2}-p_{i+1}\right\|}\right)^{\frac{1}{2}} \tag{2.4}
\end{equation*}
$$

Other parametrization methods have been investigated. All these methods have certain circumstantial advantage over the others. There is a trade-off between geometrical representation and computation time. Typically, chord length parametrization results in a very good compromise. In any event, each parametrization results in a different shape of the curve.

## 3. B-spline surfaces

B-spline surfaces are an extension of B-spline curves. The most common kind of a B-spline surface is the tensor product surface. The surface basis functions are products of two univariate (curve) bases. The surface is a weighted sum of surface (two dimensional) basis functions. The weights are a rectangular array of control points. The following Equation (3.1) shows a mathematical description of the tensor product B-spline surface.

$$
\begin{gather*}
S(u, v)=\sum_{i=0}^{n} \sum_{j=0}^{m} q_{i j} N_{i, p}(u) N_{j, q}(v), \\
u \in\left[u_{p-1}, u_{n+1}\right]  \tag{3.1}\\
v \in\left[v_{q-1}, v_{m+1}\right] .
\end{gather*}
$$

where $S(u, v)$ is a B -spline surface as a function of two variables, $q_{i j}$ 's are control points, $N_{i, p}(u)$ is the $i$-th basis function of order $p$ as a function of $u, N_{j, q}(v)$ is the $j$-th basis function of order $q$ as a function of $v$, and $u_{i}, v_{j}$ are elements of the two knot sequences related to the variables $u$ and $v$, respectively.

For most computer aided design purposes, as in the case of the curve, $S(u, v)$ is a vector function of two parametric values $u$ and $v$. A mathematical description of this relationship is shown below in Equation (3.2).

$$
S(u, v)=\left(\begin{array}{l}
\sum_{i=0}^{n} \sum_{j=0}^{m} q_{x, i j} N_{i, p}(u) N_{j, q}(v)  \tag{3.2}\\
\sum_{i=0}^{n} \sum_{j=0}^{m} q_{y, i j} N_{i, p}(u) N_{j, q}(v) \\
\sum_{i=0}^{n} \sum_{j=0}^{m} q_{z, i j} N_{i, p}(u) N_{j, q}(v)
\end{array}\right)
$$

where $x, y$ and $z$ are coordinates in model space. The rectangular array of control points forms what is called a control net. Similar to the B-spline curve, the B-spline surface approximates the shape of the control net. Figure 1 shows a bicubic B-spline function.


Figure 1. A bicubic B-spline function
Similar to the B-spline curve, the B-spline surface is also a network of polynomial pieces. Each piece of the B-spline surface is a two dimensionally represented part of a surface or patch. As with a Bspline curve, each patch of a B-spline surface may be represented by a periodic relationship provided the knot spacing is uniform in each direction. This is a uniform B-spline surface.

If the knot sequences are not uniformly spaced, then the surface is non-uniform. The basis functions would then have to be evaluated by the recursive relationship. The nonuniform patch Equation (3.1) can be represented in matrix form.
3. The New Least Squares Method for Constructing Curves and Surfaces

Given a knot vector

$$
U=\left\{u_{0}, u_{1}, u_{2}, \cdots, u_{r}\right\}, u_{0} \leq u_{1} \leq \cdots \leq u_{r}
$$

the associated B-spline functions $N_{i, p}$ are defined as follows:

$$
N_{i, 1}(u)= \begin{cases}1, & u_{i} \leq u<u_{i+1}  \tag{4.1}\\ 0, & \text { otherwise }\end{cases}
$$

and

$$
\begin{gather*}
N_{i, p}(u)=\frac{u-u_{i}}{u_{i+p-1}-u_{i}} N_{i, p-1}(u) \\
\quad+\frac{u_{i+p}-u}{u_{i+p}-u_{i+1}} N_{i+1, p-1}(u) \tag{4.2}
\end{gather*}
$$

for $p \geq 2$ and $i=0,1, \cdots, r-p$.
A B-spline curve with $n+1$ control points is then defined as

$$
\begin{align*}
C(u)= & \sum_{i=0}^{n} q_{i} N_{i, p}(u)  \tag{4.3}\\
& u \in\left[u_{p-1}, u_{n+1}\right]
\end{align*}
$$

$$
\begin{aligned}
& A(t)=(1-t)^{3} q_{0}+3(1-t)^{2} t q_{1} \\
&+3(1-t) t^{2} q_{2}+t^{3} q_{3}
\end{aligned}
$$

where $\left\{q_{i}\right\}_{i=0}^{3}$ are the control points. Suppose that the given curve has two end points $p_{0}$ and $p_{1}$, and the corresponding tangent vectors at the end points are $t_{0}$ and $t_{1}$, respectively. From the tangent constraint at the end points, we have

$$
\begin{aligned}
& q_{0}=p_{0} \\
& q_{1}=p_{0}+\alpha t_{0} \\
& q_{2}=p_{1}-\beta t_{1} \\
& q_{3}=p_{1}
\end{aligned}
$$

When the values of $\alpha$ and $\beta$ are determined, the corresponding cubic Bezier curve is then defined. The geometric Hermite methods such as the one in [7] can be used for determining the values of of $\alpha$ and $\beta$. The least-squares method can also be used, which is to minimize

$$
\int_{0}^{1}\left\|A(t)-C\left(t_{0}+\left(t_{1}-t_{0}\right) t\right)\right\|^{2} d t
$$

where $t_{0}$ and $t_{1}$ are the parameters of points $p_{0}$ and $p_{1}$ on the given curve $C(t)$, respectively. For the 2D case, we also use the inner point interpolation method, which is to select an inner point where the given curve and the approximation curve are tangent with each other. Suppose that the inner point of the given curve is $p^{*}=\left(x^{*}, y^{*}\right)$ and $t^{*}=\left(t_{x}^{*}, t_{y}^{*}\right)$ is the corresponding tangent vector of the given curve at $p^{*}$. Let $A(t)$ be $(X(t), Y(t))$. Then we have

$$
\left\{\begin{array}{l}
X(t)-x^{*}=0  \tag{5.1}\\
Y(t)-y^{*}=0 \\
X^{\prime}(t) t_{y}^{*}-Y^{\prime}(t) t_{x}^{*}=0
\end{array}\right.
$$

The equation system (5.1) has three unknown variables; i.e., $\alpha, \beta$ and $t$, and three equations as well. The first two equations in the equation system (5.1) are linear with respect to $\alpha$ and $\beta$. The terms $\alpha$ and $\beta$ can then be directly solved as $\alpha(t)$ and $\beta(t)$. Substituting $\alpha(t)$ and $\beta(t)$ into the third equation of the equation system (5.1), we obtain a univariate equation in $t$, which can be simplified into
a univariate cubic polynomial equation $H(t)$. A brief overview of related details can be found in Appendix. By solving $H(t)=0$, we finally obtain the values of $t, \alpha$ and $\beta$. Thus, the resulting approximation cubic Bezier curve is also obtained.

A standard interpolation problem is to solve a linear system

$$
\begin{aligned}
S\left(u_{i}, v_{j}\right)-p_{i j} & =0 \\
i & =0, \cdots, n \quad j=0, \cdots, m
\end{aligned}
$$

The least-squares method is to solve the new control points by minimizing

$$
\sum_{i=0}^{m} \sum_{j=0}^{m}\left\|\bar{S}\left(u_{i}, v_{j}\right)-\bar{p}_{i j}\right\|^{2}
$$

Usually, the least-squares method produces well-behaved results compared to those of the standard interpolation method, but it cannot ensure that the resulting curve exactly interpolates the data points $\left\{p_{i j}\right\}$.

## 5. Numerical Examples

Example 1 By considering

$$
U=\left\{0, \frac{1}{3}, \frac{2}{3}, 1\right\}, \quad V=\left\{0, \frac{1}{2}, 1\right\}
$$

and the data points

$$
P=\left[\begin{array}{lll}
(0,0,0) & (0,1,2) & (0,2,1) \\
(1,0,1) & (1,1,2) & (1,2,3) \\
(2,0,1) & (2,1,2) & (2,2,3) \\
(3,0,2) & (3,1,1) & (3,2,4)
\end{array}\right]
$$

we have a surface with the shape were shown in Figure 2.


Figure 2. Parametric approximation

The flatted shape is shown in Figure 3:


Figure 3. The flatted parametric approximation (1)
Example 2 By considering

$$
U=\left\{0, \frac{1}{2}, 1\right\}, \quad V=\left\{0, \frac{1}{2}, 1\right\}
$$

and

$$
P=\left[\begin{array}{lll}
(0,0,0) & (0,1,2) & (0,2,1) \\
(1,0,1) & (1,1,2) & (1,2,3) \\
(3,0,2) & (3,1,1) & (3,2,4)
\end{array}\right]
$$

we have a surface with the shape were shown in Figure 4.


Figure 4. Parametric approximation

## 3. Conclusion

In this work we extend a method of approximating curves by least squares to compute a surface.

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